

## $H_\infty$ preview control of an active stabilizer for heart-beating surgery

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# Outline

- 1 **Context of beating-heart surgery**
- 2 **Control Issue**
- 3 **Control design**
- 4 **Robustness analysis**
- 5 **Conclusion**

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## Context of beating-heart surgery

### Context

- Coronary Artery Bypass Grafting (CABG): a frequent operation in the case of heart blood irrigation insufficiency
- Current most used procedure: stop the heart and implementation of an extra corporeal circulation
- CABG with heart-beating operation: reduce complications
- Use of mechanical stabilizers in order to immobilize the area of operation (ex: Octopus by Medtronic)

### Limitation of current stabilizers

- Residual displacement about 1 mm [Cattin04]
- Required accuracy : 0.1 mm
- Insufficient of endoscopic surgery [Loisance05]

### Investigated solution

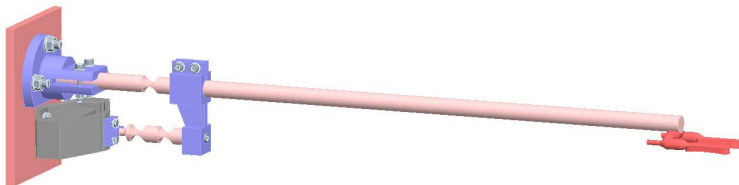


**Figure:** Invasive stabilizer: Octopus 4.3 (Medtronic)



**Figure:** Endoscopic stabilizer: Octopus TE (Medtronic)

# Cardiolock 1



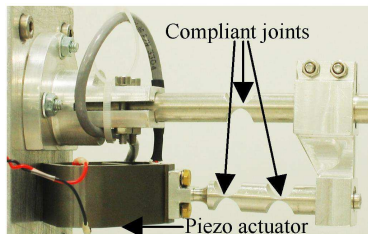
## Description

### ● Beam

- Diameter compatible with minimally invasive surgery (10 mm diameter)
- Sterilizable in autoclave
- Fixed on the heart by suction

### ● Actuation system

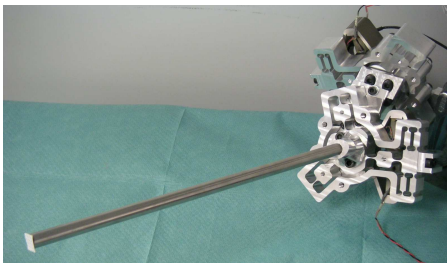
# Cardiolock 1



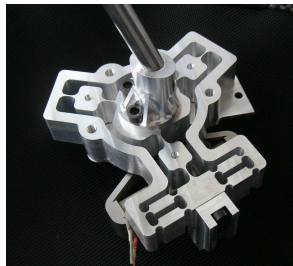
## Description

- **Beam**
- **Actuation system**
  - Parallel mechanism
  - Rotating compliant joints: no backlash
  - Linear piezo actuator: high dynamics and accuracy
  - Enclosed in a sterile bag

# Cardiolock 2



*Full system*



*Detail on one DOF*

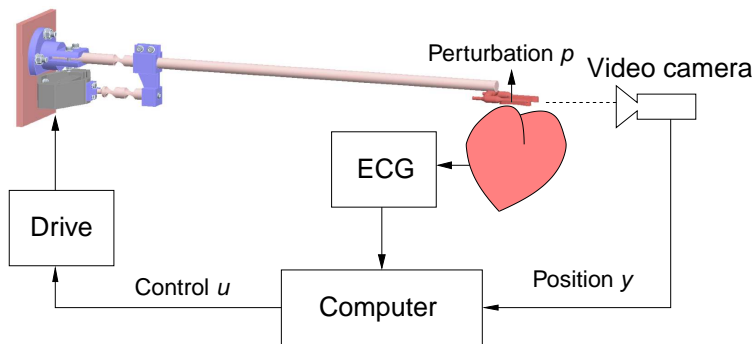
- 2 DOF
- Each DOF is actuated by a parallel mechanism in quasi-singularity

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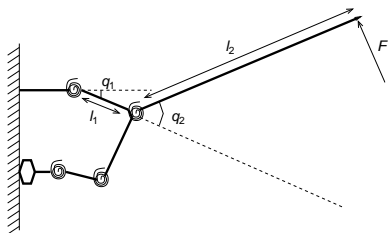


# Cardialock under operation



- Perturbation rejection (heart beating and respiration)
- Availability of the frequencies of the heart (ECG) and respiration (artificial ventilation) for constructing a model of the perturbation

# Dynamic model

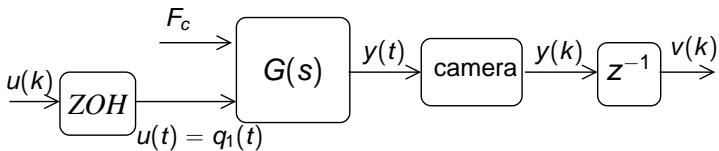


**Figure:** Simplified scheme with equivalent rigid deformation

- Control :  $u = q_1$
- Measurement by camera :  $y =$  position of the tip of the beam

## Modelling

Under the PRBS assumption



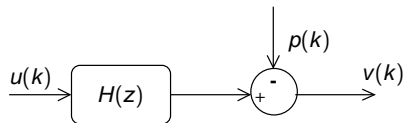
**Figure:** Bloc-diagram of the system

$$M_{21}\ddot{q}_1 + M_{22}\ddot{q}_2 = l_2 F_c - K_2 q_2 - f_2 \dot{q}_2 \quad (1)$$

$$q_1 = u \quad (2)$$

$$y = (l_1 + l_2)q_1 + l_2 q_2 \quad (3)$$

Flexible non-minimum phase system



**Figure:** Simplified scheme for control design

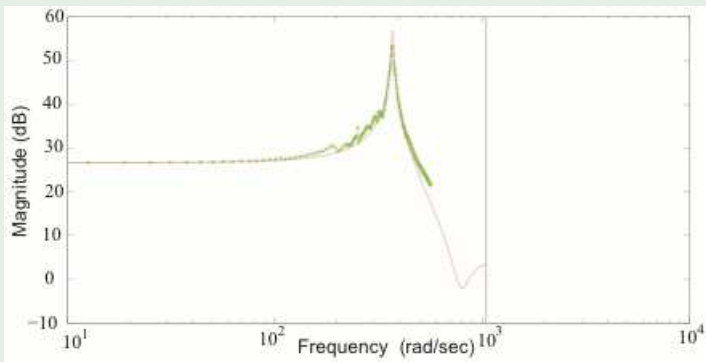
Camera + ZOH equivalent to a UOH [IFAC 2008]

$$H(z) = z^{-1} \left( \frac{1 - z^{-1}}{T} \right)^2 \mathcal{ZL}^{-1} \left( \frac{G_2(s)}{s^2} \right)$$

### Control issue

- Rejection of an output perturbation
- Estimation of the perturbation with  $\hat{p} = H(z) u - v$

## Frequency response

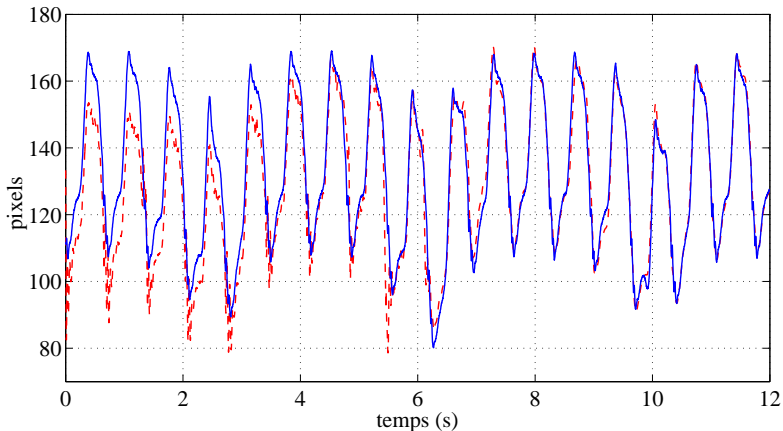


**Figure:** Frequency response of identified  $H(z)$

## Prediction of the perturbation

- Two components:
  - heart ( $d\phi_c/dt = 2\pi f_c$  where  $f_c$  is evaluated after each ECG period)
  - ventilation ( $d\phi_r/dt = 2\pi f_r$  where  $f_r$  is given by the ventilation system)
- Perturbation signal  $p(t) = \mathcal{M}_r(t) + \mathcal{M}_c(t)$
- Ventilation component only from ventilation phase:
 
$$\mathcal{M}_r(t) = \sum_{l=1}^{n_r} a_l \sin(l\phi_r(t)) + b_l \cos(l\phi_r(t))$$
- Heart component based on both heart and ventilation phases :
 
$$\mathcal{M}_c(t) = \mathcal{C}_c(t)(1 + \mathcal{C}_r(t)) \text{ where}$$
  - $\mathcal{C}_c(t) = \sum_{l=1}^{n_c} e_l \sin(l\phi_c(t)) + f_l \cos(l\phi_c(t))$
  - $\mathcal{C}_r(t) = \sum_{l=1}^{n'_r} g_l \sin(l\phi_r(t)) + h_l \cos(l\phi_r(t))$
- Change of variable in order to obtain a linear-parameter model ( $p(t) = \sum_{l=1}^{n_\theta} \theta_l \phi(t)$ ) and parameter estimation with recursive mean square
- Prediction  $\hat{p}(t + \delta) = \sum_{l=1}^{n_\theta} \hat{\theta}_l \phi(t + \delta)$

# Evaluation on experimental data



**Figure:** Residual displacement measured with a passive stabiliser (plain) and 3-samples ahead prediction (dashed,  $T_e = 3$  ms)

# Outline

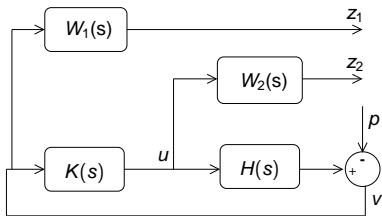
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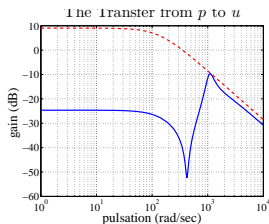
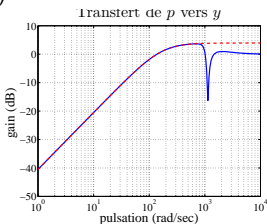
# Several approaches for rejection of quasi-periodic perturbation

- Dynamic output feedback
- Estimate and compensate
  - Least-square recursive estimation
  - Kalman filter
- Repetitive control (in discrete-time)
- Adaptive compensation (direct adaptation of the parameters of a perturbation model [Bodson 2001])

# Simple feedback controller (synthesis in continuous time)



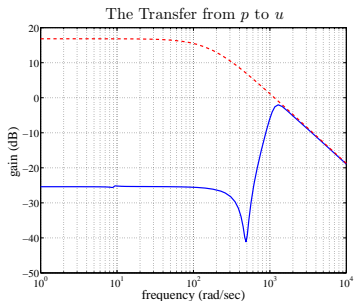
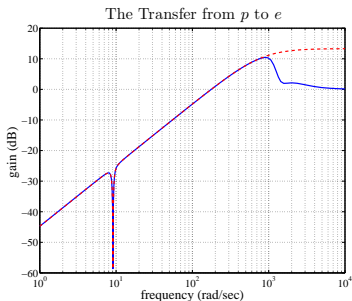
**Figure:** 2-blocs synthesis scheme (for tuning modulus margin, accuracy, bandwidth and roll-off)



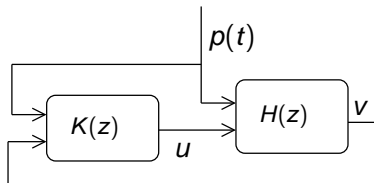
**Figure:** Frequency response (features: dot; system behavior: plain)

# Resonant feedback controller

- $W_1(s)$  is modified with a resonant filter adapted to the cardiac frequency



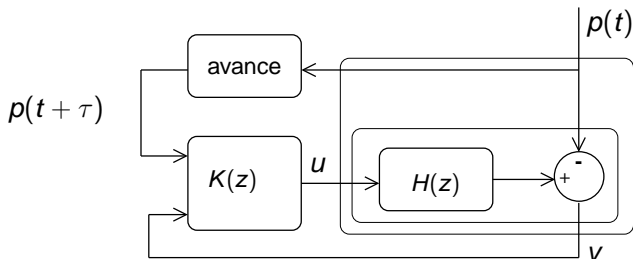
## 2-DOF controller (feedback + feedforward)



**Figure:** Control scheme with measured perturbation

- $K(z) = [K_1(z) \mid K_2(z)]$ ,  $H(z) = [H_1(z) \mid H_2(z)]$
- $T_{vp}(z) = (I - H_2(z) K_2(z))^{-1} (H_1(z) + H_2(z) K_2(z))$
- Feedback  $K_2(z)$  for rejection in low frequency (robust to the model errors)
- Feedforward  $K_1(z)$  to enhance the rejection at higher frequency ( $K_1(z) = -H_2^{-1}(z) H_1(z)$ ) (sensitive to the model errors)
- Restriction if  $H_1(z)$  have non proper or non stable inverse (both in our case)
- Solution: synthesis of  $K(z)$  in one shot (idem as an additional measurement)
- Limitation: the information comes too late for an efficient control action

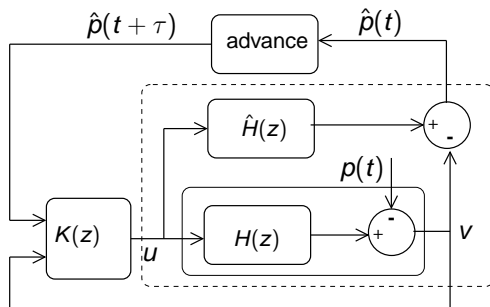
# Preview controller



**Figure:** Principle of preview control

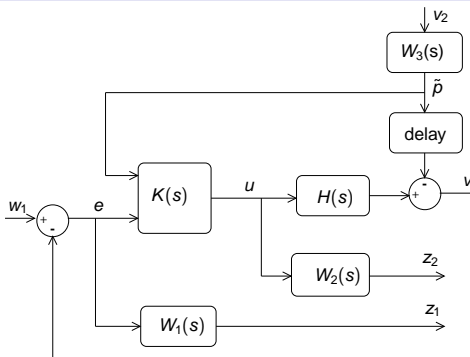
- Anticipation made possible by the prediction model
- Controller synthesis in one shot
- Similitude with predictive control: requires to know in advance the future samples of the exogenous signal (i.e. reference or perturbation)
- Equivalent for reference tracking

# Full control scheme



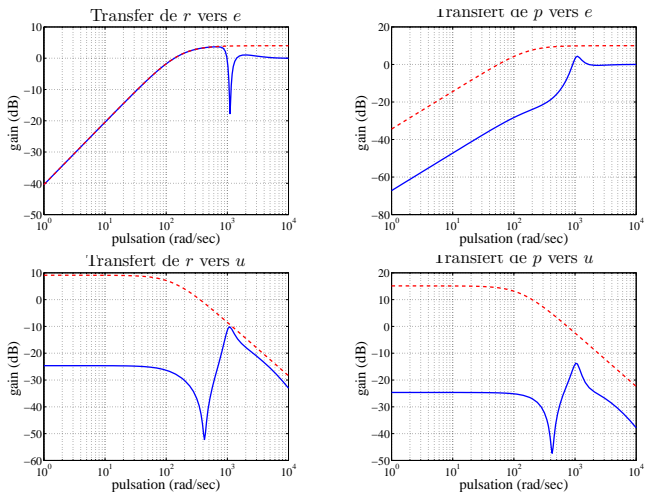
**Figure:** Control scheme with estimation of the perturbation

# Synthesis scheme



**Figure:** Synthesis scheme for the 2-DOF controller allowing to tune separately the feedback and feedforward effects ( $\tilde{p}(t) = e^{-\tau s} p(t)$ )

- Synthesis in continuous-time (continuous-to-discrete conversion with the bilinear transform)
- Pade approximation of the delay
- Advance from the prediction model

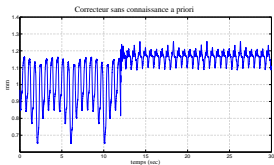


**Figure:** Frequency response with the 2-DOF preview controller (features: dots; realized system: plain)

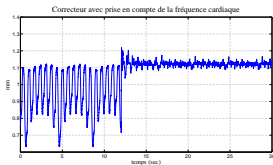




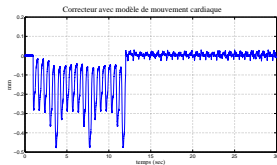
# Experimental results



*Simple feedback*



*Resonant feedback*



*2-DOF with preview*

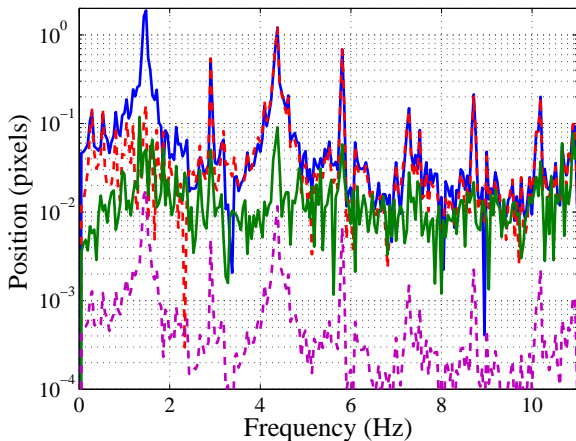
- In-vivo tests were also made

## Evaluation in simulation with experimental data

Control method	RMS displacement (pixel)
No control	22.3
Simple feedback	2,57
Resonant feedback	1,69
2-DOF with preview with perfect prediction	0,064
2-DOF with preview with estimated prediction	1,21

**Table:** Residual displacement obtained with the nominal model (prediction made with  $n_c = 10$  and  $n_r = n'_r = 4$ )

# Residual movement frequency analysis



*blue: simple feedback; red: resonant feedback; purple: 2-DOF with preview with perfect prediction; green: 2-DOF with preview with estimated prediction*

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# Uncertain model

## Robustness issue

Modification of the system behavior when in contact with the heart

## Interaction model

$$F = F_c - k_c y - f_c \dot{y} - m_c \ddot{y} \quad (4)$$

- $F_c$ : exogenous perturbation
- Nominal values:  $m_c = 2$  g,  $K_c = 250$  N/m and  $f_c = 0.1$  N.s/m
- Consider variations from 0 à 200 %

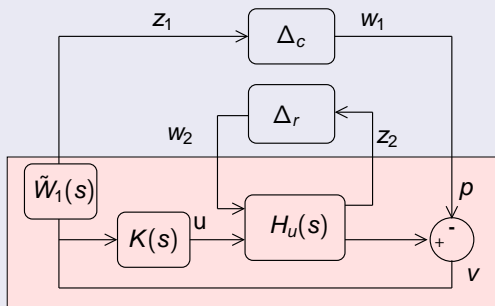
## Uncertain model

### $\mu$ -analysis context

- Constants uncertain parameters
- LFR model
- Use of a performance criterion
- Robust if  $\mu < 1$

# Simple feedback control

## LFR model (stability + performance)



**Figure:** Structure du modèle LFR incertain

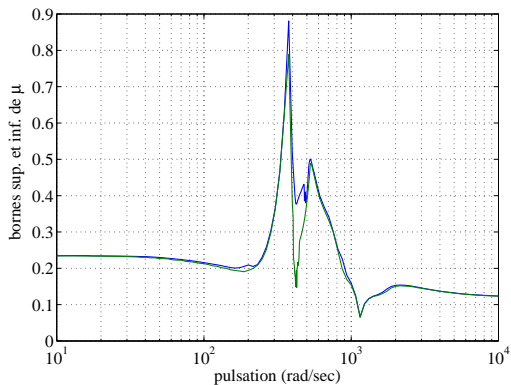
$\Delta_c$  real diagonal;  $\Delta_r$  full complex



## Repetition index of the uncertain parameters

Parameter	Direct	Reduction	Robust toolbox
$m_c$	9	3	1
$K_c$	3	2	1
$f_c$	3	1	1

**Table:** Repetition index of the uncertain parameters of the LFR model obtained with different methods (Direct and Reduction: with LFR toolbox)

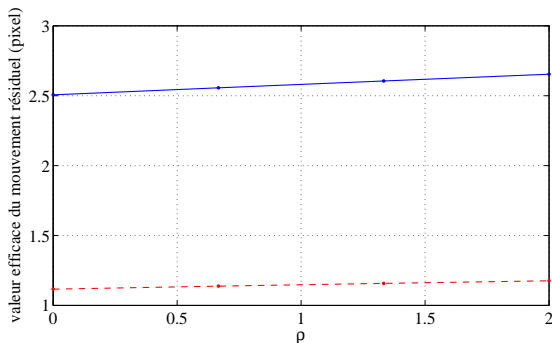
$\mu$  plot

**Figure:** Structured singular value

→  $\mu < 1$ : robust to the considered uncertainties

## 2-DOF preview control

- Non causal model  $T_{yp}(s) = \text{lft}(G(s), e^{\tau s})$  that cannot be factorized (i.e.  $T_{yp}(s) = e^{\tau_1 s} G_1(s) e^{\tau_2 s} \rightarrow$  usual tools cannot be used
- Evaluation in simulation with  $p_k = \rho p_{k0}$  where  $p_{k0}$  is the nominal value and  $\rho \in [0; 2]$



**Figure:** Variation of the residual motion with respect to the parameter value  $\rho$  (plain: feedback control; dashed: preview control)

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## Conclusion

- Simple and efficient procedure for synthesis of preview  $H_\infty$  control
- Improvement thanks to the prediction of the perturbation
- Obtained accuracy in accordance with the requirements for heart-beating surgery

## Future work

- Robustness analysis for the 2-DOF preview controller with estimation
- Evaluation of Cardiolock 2
- Comparison with GPC